

# Frailty in older adults

*GRECC CBOC Connection Case Conference Series*  
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**VA**



**U.S. Department of Veterans Affairs**

Veterans Health Administration  
*Geriatric Research, Education, and Clinical Centers*



# Objectives

- Understand key definitions of frailty syndrome
- Discuss associations between frailty and adverse outcomes after surgery in older adults
- Explore implications of frailty in the care of vulnerable older adults undergoing surgery

# Clinical Case



- 94 years old man, vibrant, physically active, independent, lived alone
- Medical history – arthritis, diabetes, hypertension

# Acute Illnesses and Decline

- 1<sup>st</sup> subdural hematoma (SDH) - Burr hole
  - Adequate recovery, almost returned to baseline physical function
  - Weight loss, frequent fatigue, generalized weakness, falls
- 2<sup>nd</sup> SDH - Burr hole
  - Poor recovery, post-operative complications, significant loss of function (unable to ambulate)
  - Looked “frail” (cachectic, muscle atrophy)

# Nursing Home



- Dysphagia
  - NG tube + physical restraints
- Delirium and agitation
  - Pharmacologic sedation
- Pressure ulcers
- Recurrent hospitalizations
  - Altered mental status, infections, aspiration, polypharmacy, fecal impaction

# End-of-Life

- Last hospitalization
  - Acute multi-organ failure
    - Septic shock (pneumonia, bacteremia)
    - Pneumothorax (hypoxia)
    - Renal failure (no urine output)
  - Management
    - IV fluids, pressors, antibiotics and albumin
    - MD offered chest tube – declined by family
    - MD offered dialysis – consented by family
  - Died in hospital

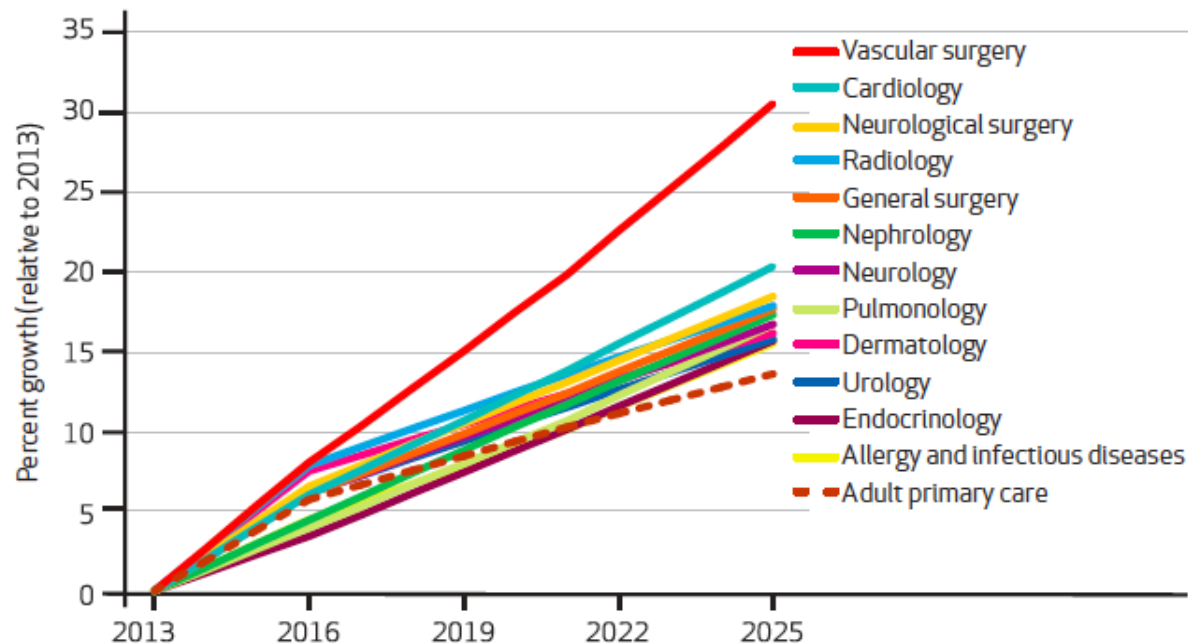
# Missed opportunities for geriatric, palliative and hospice care!

- Alternatives to surgery, physical restraints, tube feeding, chemical sedation for agitation?
- Palliation and hospice focused care goal discussions?
- Implementing palliative and hospice care at nursing home or acute hospital?
- *Using frailty to help guide management?*

# Surgery: A common intervention in older patients

- More than 50% of all surgeries are performed in patients >65 years of age in US
- Demand for surgical interventions continues to increase as population ages

Projected Growth In Demand For Full-Time-Equivalent Physicians In Selected Specialties, 2013-25





# Surgical risk stratification

- Post-operative complications increase healthcare costs, hospital & ICU length of stay, readmissions and mortality
  - More common in older patients (1 or more complications in 20% of those >80 years old)
- Current clinical risk assessments (e.g., ASA physical status classification, Goldman cardiac risk) do not reliably predict surgical outcomes in older patients
- There is a need to improve the inexact science of preoperative risk assessment
  - Does frailty better quantitate physiological compromise with age?
  - Can we use frailty measures for preoperative risk stratification?

What is frailty?

# Characteristics of frailty

- Older age
- Weight loss
- Fatigue
- Weakness
- Falls
- Disability and dependency
- Multisystem decline
- Iatrogenic complications
- Delayed and incomplete recovery
- Vulnerability
- Higher mortality

# Frailty: From idea to syndrome

- *American Geriatrics Society/National Institute on Aging Research Conference on Frailty, 2004*
  - A distinct clinical syndrome characterized by multisystem physiological decline with increased vulnerability to stressors and adverse clinical outcomes (i.e., reduction in physiological reserve)
  - Decreased muscle mass and strength (sarcopenia)
  - Decreased physical activity and exercise tolerance
  - Weight loss and under nutrition

Fried definition of frailty  
*(i.e., Physical frailty phenotype)*

# How do we identify frail, older adults?

- **Unintentional weight loss**

- 10 lbs or more in past year

- **Weakness**

- Grip strength: lowest 20%

- **Exhaustion**

- **Slow walking speed**

- Walking time/15 ft: lowest 20%

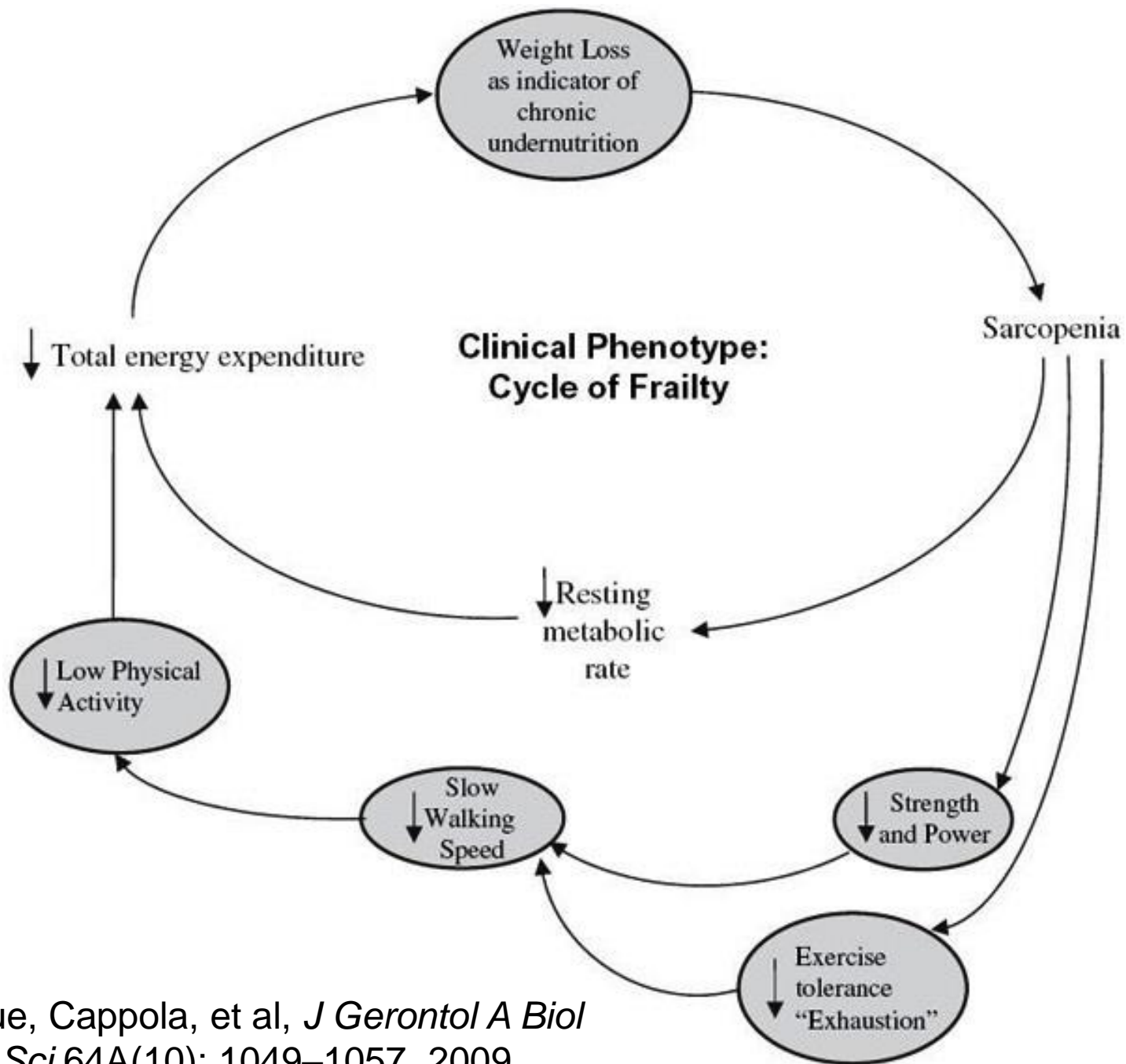
- **Low physical activity**

- Kcals/week: lowest 20%

\* Frail if 3 - 5 are present  
\* Prefrail if 1 - 2 are present

*Hopkins Frailty Assessment  
Calculator:*

<https://www.johnshopkinssolutions.com/solution/frailty/>



Fried, Xue, Cappola, et al, *J Gerontol A Biol Sci Med Sci* 64A(10): 1049–1057, 2009

Rockwood definition of frailty  
*(i.e., Deficit accumulation model)*



# The Frailty Index (FI)

- Frailty is an at-risk state caused by the age-associated accumulation of deficits
  - Multi-morbid state
  - Vulnerability is due to aggregation of related or unrelated abnormal health conditions
- Frailty Index:
  - Calculates risks for frailty in older adults by accounting for deficits identified through a routine comprehensive geriatric assessment (FI-CGA)

Jones et al, *Aging Clin Exp Res* 17(6):465-471, 2005

Mitnitski, Mogilner, & Rockwood, *ScientificWorldJournal* 1:323-336, 2001

# Deficits assessed by FI-CGA

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Cognitive status	MCI, dementia, delirium
Emotional	Depression, anxiety, fatigue
Motivation	Degree, health attitude
Communication	Speech, hearing, vision
Strength	Proximal and distal upper and lower extremities
Mobility	Level of dependence on transfer, walking
Balance	Balance, falls
Elimination	Bowel and bladder incontinence
Nutrition	Weight, appetite
ADLs	Feeding, bathing, dressing, toileting
IADLs	Cooking, cleaning, shopping, medications, driving, banking
Sleep	Disrupted sleep, daytime drowsiness
Socially engaged	Frequency of social interaction
Social and home environment	Marital status, living arrangement, support system, caregiver relationship, caregiver stress
Medications	Type and indication

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# Beth Israel Deaconess Online FI Calculator

<https://www.bidmc.org/research/research-by-department/medicine/gerontology/calculator>

**CGA-FI = 0.256**

## CGA-FI

\*Items marked with a star (\*) must be completely assessed.

Name

RESET ALL

Score : 0.256

### Medical History\* (6/21 items)

RESET

Check any items that the patient has in his/her medical history.

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Angina                           | <input checked="" type="checkbox"/> COPD                       | <input checked="" type="checkbox"/> Heart failure                  |
| <input checked="" type="checkbox"/> Anxiety disorder                 | <input checked="" type="checkbox"/> Coronary artery disease    | <input checked="" type="checkbox"/> Hypertension                   |
| <input checked="" type="checkbox"/> Arthritis                        | <input checked="" type="checkbox"/> Degenerative spine disease | <input checked="" type="checkbox"/> Myocardial infarction          |
| <input checked="" type="checkbox"/> Asthma                           | <input checked="" type="checkbox"/> Dementia                   | <input checked="" type="checkbox"/> Peripheral vascular disease    |
| <input checked="" type="checkbox"/> Atrial fibrillation/flutter      | <input checked="" type="checkbox"/> Depression                 | <input checked="" type="checkbox"/> Sensory impairment             |
| <input checked="" type="checkbox"/> Cancer within 5 years            | <input checked="" type="checkbox"/> Diabetes                   | <input checked="" type="checkbox"/> Stroke/TIA                     |
| <input checked="" type="checkbox"/> Chronic kidney disease (eGFR<60) | <input checked="" type="checkbox"/> Fall within the past year  | <input checked="" type="checkbox"/> Use of >= 5 prescription drugs |

### Functional Status\* (5/22 items)

RESET

Does the patient need help from another person to perform the following activities?

#### Activities of Daily Living

- Feeding
- Dressing/undressing
- Grooming
- Walking (or use of a walker)

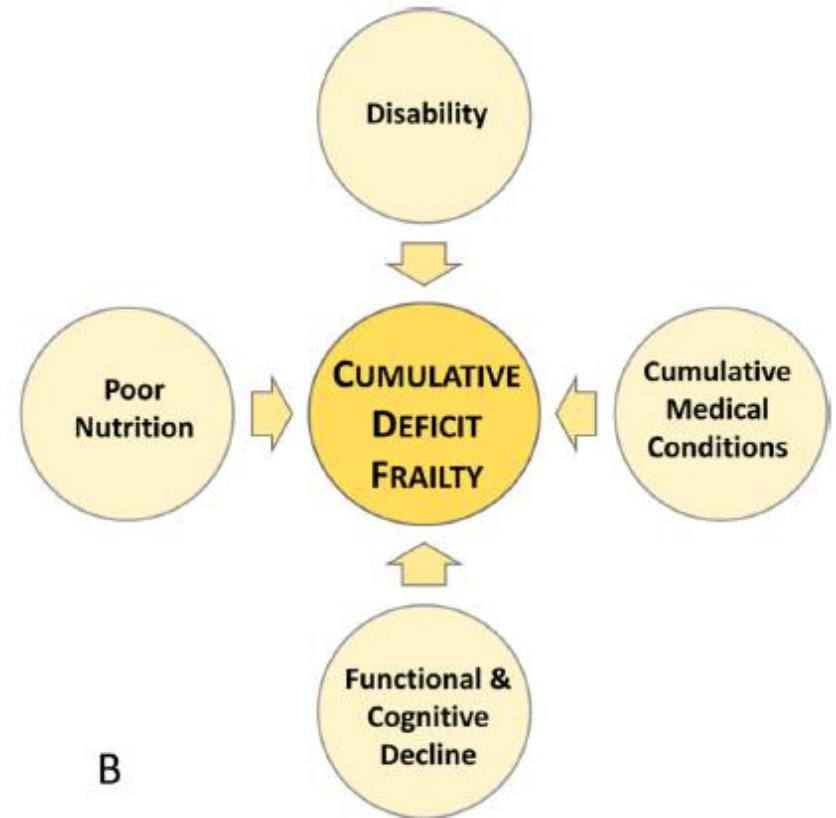
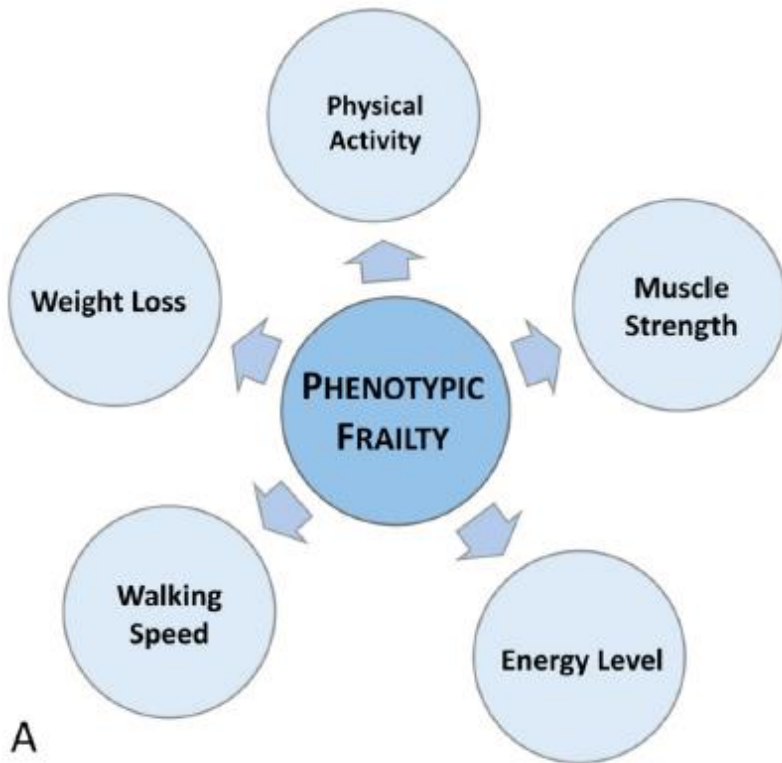
#### Instrumental Activities of Daily Living

- Using telephone
- Using transportation
- Shopping
- Preparing own meals

#### Nagi & Rosow-Breslau Activities

- Pulling or pushing a large object
- Stooping, crouching or kneeling
- Lifting or carrying 10 lbs
- Reaching arms above shoulder

# Frailty: Phenotype vs. FI



# FRAIL Scale





- Fatigue: Time felt tired in past 4 weeks?
- Resistance: Difficulty walking up 10 steps without resting and not using aids.
- Ambulation: Difficulty walking a couple of blocks.
- Illness: >4 illnesses (e.g., hypertension, diabetes, chronic lung disease)
- Loss of weight: >5% weight loss in past year

***Prefrail 1-2; Frail 3-5***

<https://www.mass.gov/doc/frail-scale-screening-tool/download>

**CENTRAL ILLUSTRATION** Essential Frailty Toolset in Older Adults Undergoing Aortic Valve Replacement

# The Essential Frailty Toolset

	Five chair rises <15 seconds	0 Points
	Five chair rises ≥15 seconds	1 Point
	Unable to complete	2 Points
	No cognitive impairment	0 Points
	Cognitive impairment	1 Point
	Hemoglobin ≥13.0 g/dL ♂ ≥12.0 g/dL ♀	0 Points
	Hemoglobin <13.0 g/dL ♂ <12.0 g/dL ♀	1 Point
	Serum albumin ≥3.5 g/dL	0 Points
	Serum albumin <3.5 g/dL	1 Point

EFT Score	1-Year Mortality	
	TAVR	SAVR
0-1	6%	3%
2	15%	7%
3	28%	16%
4	30%	38%
5	65%	50%

EFT Points: \_\_\_\_\_



Afilalo, J. et al. J Am Coll Cardiol. 2017;70(6):689-700.

The EFT is scored 0 (least frail) to 5 (most frail) based on the following 4 items: pre-procedural anemia, hypoalbuminemia, lower-extremity muscle weakness defined as a time of ≥15 s or inability to complete five sit-to-stand repetitions without using arms, and cognitive impairment defined as a score of <24 on the Mini-Mental State Examination (which is highly unlikely if the patient is able to correctly recall 3 out of 3 words after a distractive task and may obviate the need for further cognitive testing). EFT = Essential Frailty Toolset; SAVR = surgical aortic valve replacement; TAVR = transcatheter aortic valve replacement.

# Risk Analysis Index (RAI)

- A 14-item, validated brief survey (<2 min to administer) to screen frailty for elective surgery
  - Assesses social, functional, nutritional, physical and cognitive domains
  - Developed (Dr. Daniel Hall) and implemented as a perioperative screen at a growing number of VAMCs
  - Strikes a pragmatic balance between ease of administration (e.g., point-of-care testing) and predictive value (e.g., mortality) to identify high risk surgical patients & provide prognostication to inform shared decision-making.
  - *Most thoroughly validated measure of surgical frailty*

# RAI

**Patient Demographics**

Age:  Sex:

**Social History**

Does the patient live in a nursing home, skilled nursing facility or another assisted living environment?  No

**Medical Conditions**

Has the patient ever seen a nephrologist (kidney doctor) or have a history of kidney problems?  No

Does the patient have chronic (long-term) congestive heart failure (CHF)?  No

Does the patient currently have shortness of breath while resting or with minimal activity?  
*Prompt: "Do you have trouble catching your breath when you are resting or doing minimal activities? For example: walking to the bathroom or mailbox."*  No

In the past 5 years, has the patient been diagnosed with or treated for cancer?  No

**Nutrition**

In the past 3 months, has the patient lost 10 pounds or more without trying?  No

Is the patient's appetite currently poor?  
*Prompt: "Do you or your family members notice that you aren't eating as much?"*  No

**Cognitive**

During the last 3 months has it become difficult for you to remember things or organize your thoughts?  No

**Activities of Daily Living**

Mobility

Eating

Toileting

Personal Hygiene

**Reset Form** **Calculate RAI Score**

Courtesy of Marcel Kaganovskaya, NP

**Score: 0-29 (Robust); 30-36 (Average); 37-44 (Frail);  $\geq 45$  (very frail)**  
**\*Score 37 = threshold of highest 10% with >2 times rates of postoperative mortality, complication, readmission and long-term ICU stay**

Arya et al, *Ann Surg* 272(6):996-1005, 2020



# Epidemiology

- **The Cardiovascular Health Study (CHS)**
  - 5,317 men and women 65 years and older
  - 7.2% four-year incidence
  - 6.9% overall prevalence
  - Frailty is associated with:
    - *Older age* (3.9% in 65-74 y.o. vs. 25% in > 85 y.o.)
    - *Female sex* (8% in women vs. 5% in men)
    - *Race* (13% in African Americans vs. 6% in Caucasian Americans)
    - *Lower education and income*

# Frailty status predicts adverse outcomes in older non-surgical patients

	<b>CHS<sup>1</sup></b>	<b>WHAS<sup>2</sup></b>
<b>Incident Fall</b>	1.29 (1.00, 1.68)	1.18 (0.63, 2.19)
<b>Worsening Mobility</b>	1.50 (1.23, 1.82)	10.44 (3.51, 31.00)
<b>Worsening ADL Disability</b>	1.98 (1.54, 2.55)	15.79 (5.83, 42.78)
<b>Hospitalization</b>	1.29 (1.09, 1.54)	0.67 (0.33, 1.35)
<b>Death</b>	2.24 (1.51, 3.33)	6.03 (3.00, 12.08)

Hazard Ratios Estimated Over 3 Years

<sup>1</sup>Fried et al, *J Gerontology*, 2001

<sup>2</sup>Bandeem-Roche et al, *J Gerontology*, 2006

# Preoperative frailty status predicts adverse surgical outcomes

- Adverse clinical consequences include impaired functional recovery, immobility, increased hospital readmission and mortality in a variety of surgeries
- Frailty is a predictor of adverse outcomes after major abdominal surgery (GI, GU, GYN)
  - Major morbidity (OR 2.56; 95% CI 2.08-3.16)
  - Death <90 days of surgery (OR 5.77; 95% CI 4.41-7.55)
  - Death <1 year after surgery (HR 2.71; 1.63-4.49)
  - Longer LOS (9.6 days; 95% CI 6.2-12.9 vs. 6.4 days; 95% CI 4.9-7.9)

Edwards et al. Arch Surg, 1982  
Sandini et al. BJS Open, 2017  
Hewitt et al. Age Ageing, 2018

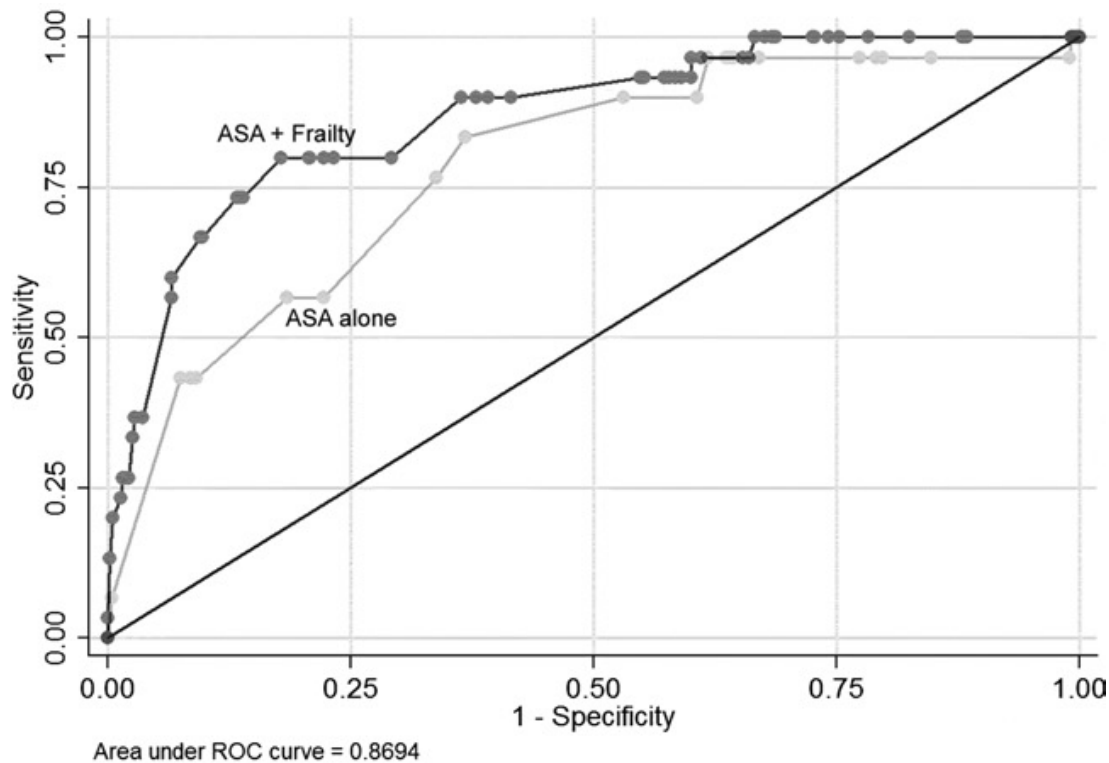
# Cohort studies: Association between preoperative frailty and postoperative adverse outcomes

Author	Study population	Type of surgery	Frailty measure	Association with adverse outcomes:				
				Post-operative complications	Hospital length of stay	Discharge to facility	30-day mortality	90-day or long-term mortality
<b>Emergent surgery</b>								
Joseph et al. (2016)	N = 220 Age: 75.5 ± 7.7 Men: 56%	Abdominal	50-variable Rockwood Preadmission FI	+	ND	ND	ND	ND
Kenig et al. (2015)	N = 184 Age: 76.9 ± 5.8 Men: 47%	Abdominal	VES-13 Geriatric-8 GFI Balducci	+	ND	ND	+	ND
<b>Non-emergent surgery</b>								
Makary et al. (2010)	N = 594 Age: 71.3 (65-94) Men: 40%	General	Fried phenotype	+	+	+	ND	ND
Hewitt et al. (2015)	N = 325 Age: 77.3 ± 8.2 Men: 43%	General	CSHA 7-point scale	ND	+	ND	+	+
Robinson et al. (2013)	N = 201 Age: 74 ± 6 Men: 98%	Abdominal	7-domain based score	+	+	ND	ND	ND
Saxton et al. (2011)	N = 226 Age: 61 ± 13 Men: 47%	General	CSHA 70-point scale	+	ND	ND	–	ND
Tegels et al. (2014)	N = 180 Age: 69.8 (73-88) Men: 59%	Abdominal	GFI	+	–	ND	+	–

+, p < 0.05; –, p is not significant

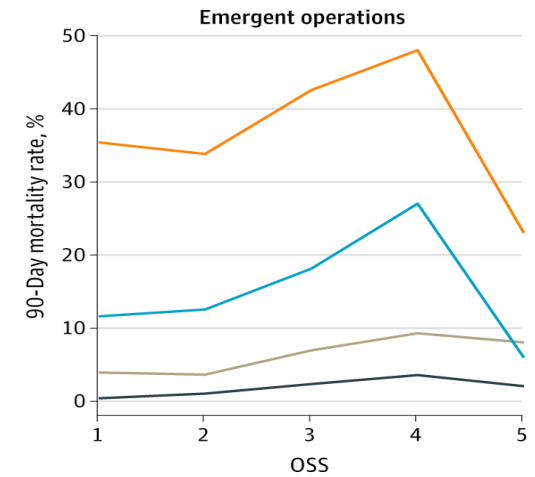
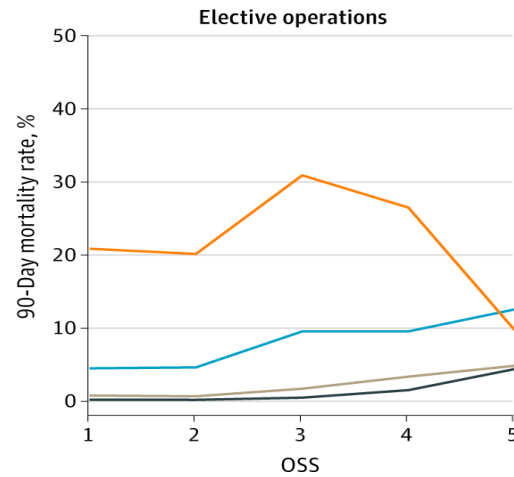
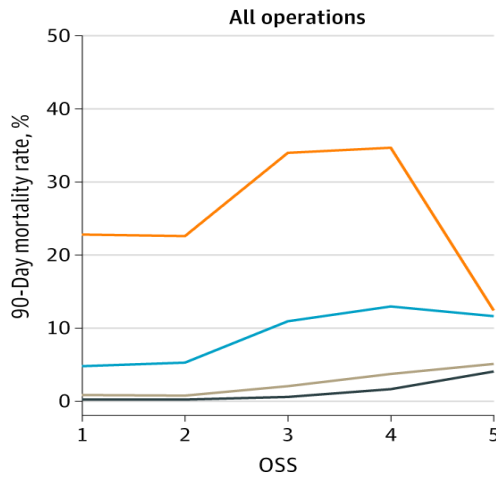
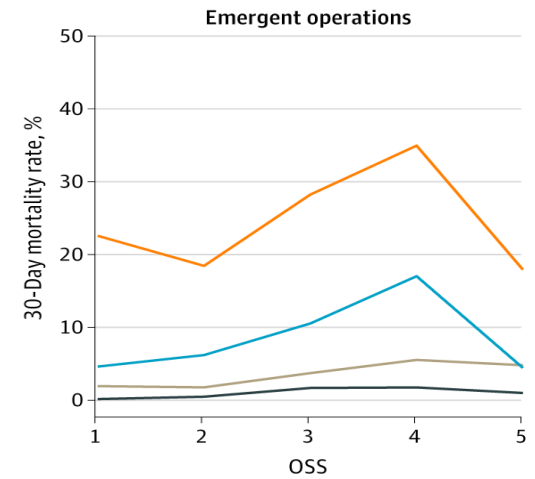
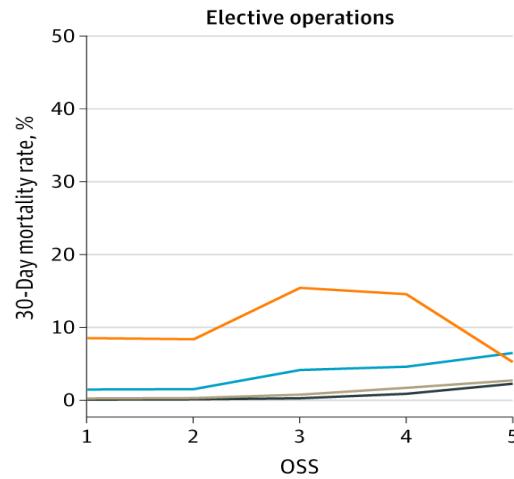
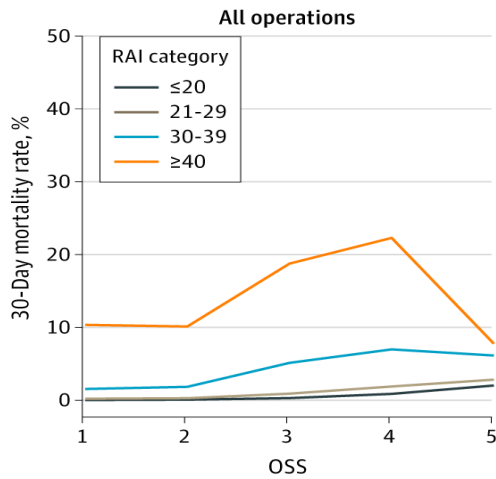
Abbreviations: FI = Frailty Index; VES-13 = Vulnerable Elderly Survey; GFI = Groningen Frailty Index; CSHA = Canadian Study of Health and Ageing; ND = not done

# Frailty enhances predictive power of risk indices



- Physical frailty phenotype (Fried definition) increases predictive power of ASA in postoperative complications and discharges to skilled or assisted-living facilities

# Frailty (RAI), surgical stress & mortality



No. at risk by OSS

	OSS1	OSS2	OSS3	OSS4	OSS5
Robust	18847	121507	49578	9104	641
Normal	20979	91693	58654	14507	1626
Frail	4255	11884	16086	3882	472
Very frail	464	1670	5528	1258	193

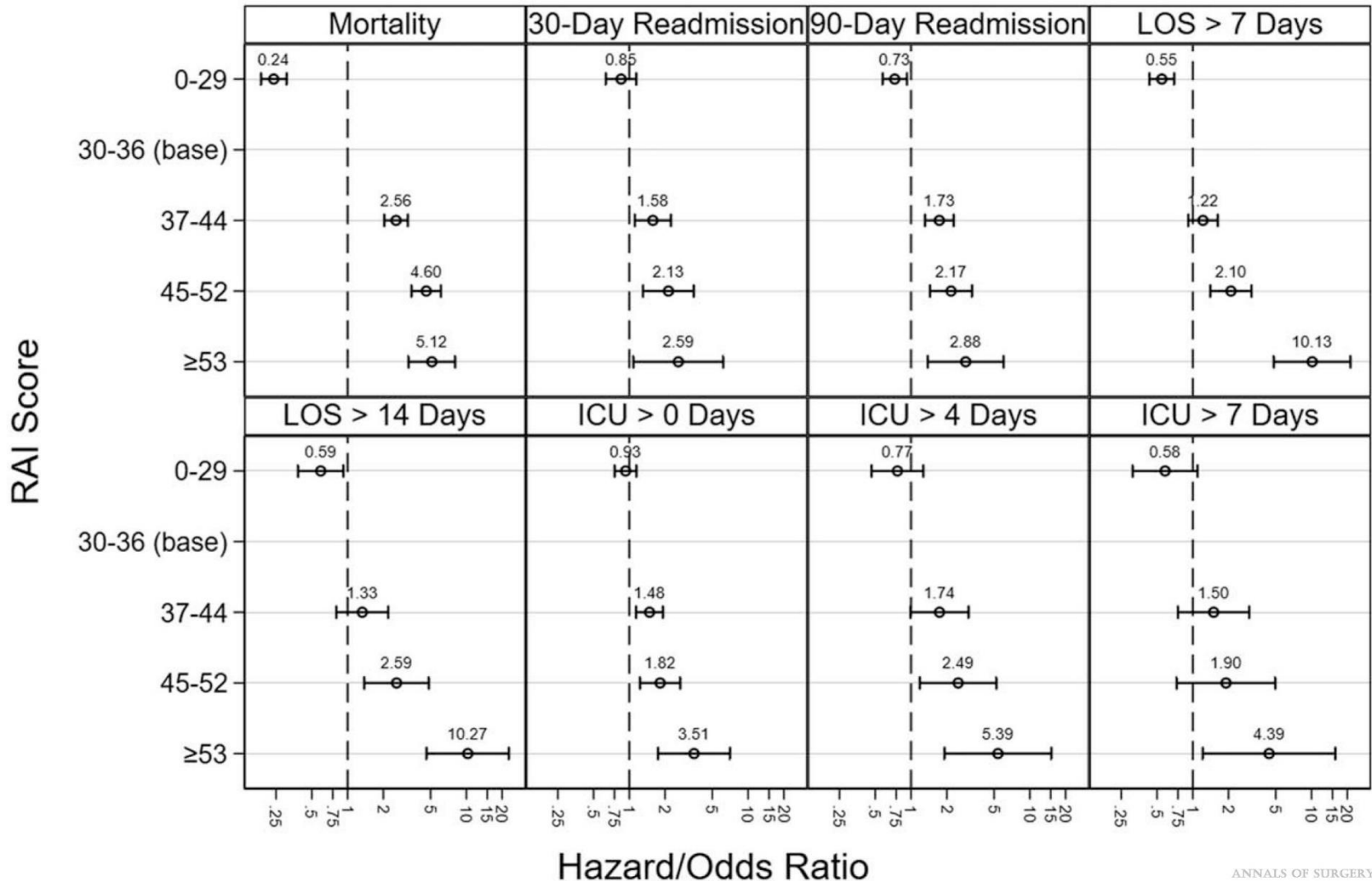
No. at risk by OSS

	OSS1	OSS2	OSS3	OSS4	OSS5
Robust	18347	117855	47022	8601	544
Normal	20572	89306	54865	13626	1502
Frail	4083	10937	13510	3125	405
Very frail	402	1372	4072	780	154

No. at risk by OSS

	OSS1	OSS2	OSS3	OSS4	OSS5
Robust	500	3652	2556	503	97
Normal	407	2387	3789	881	124
Frail	172	947	2576	757	67
Very frail	62	298	1456	478	39

# Frailty (RAI), hospital readmission & LOS



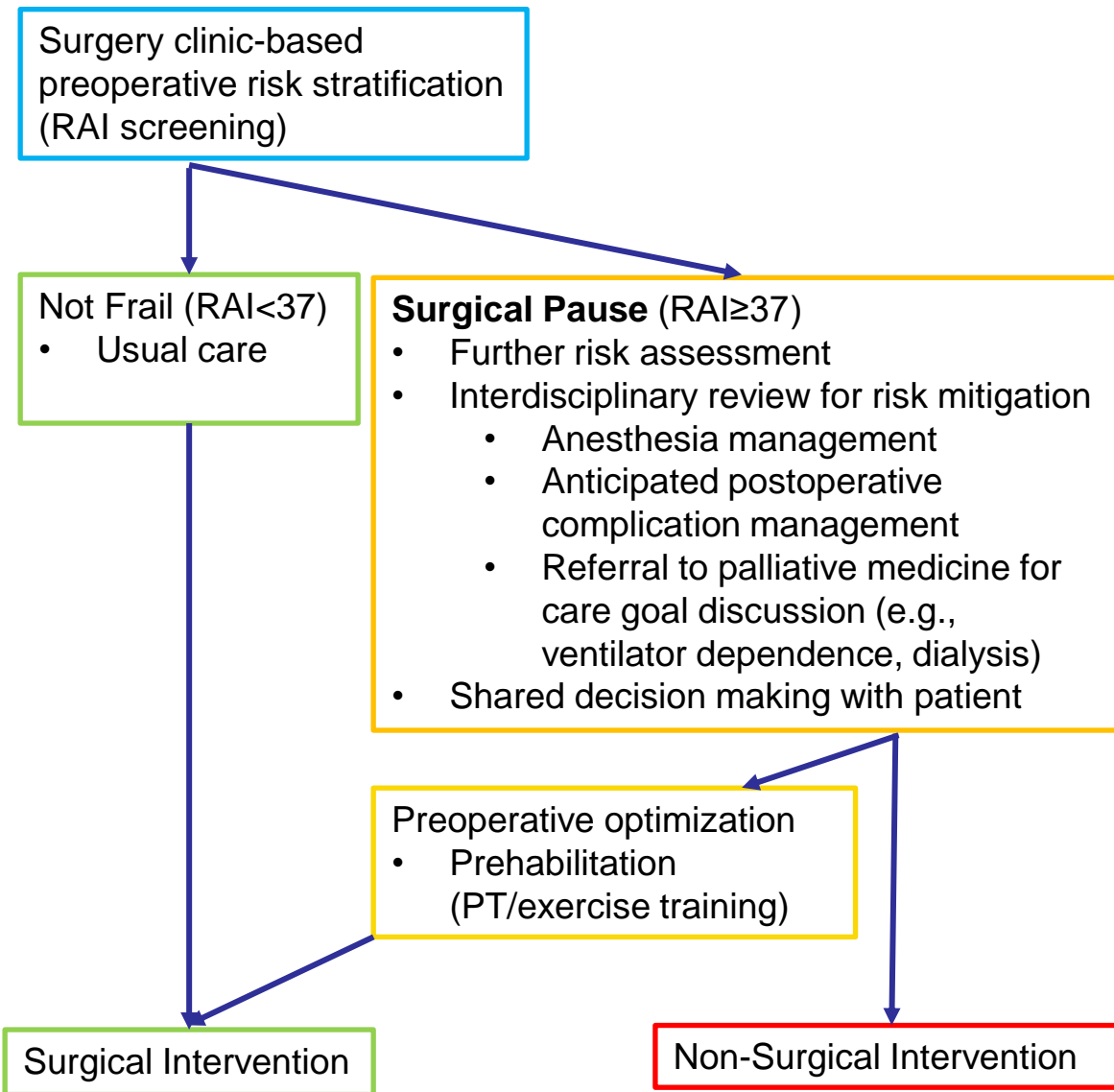
How do we care for frail older adults undergoing surgery?



# Clinical care of frailty: Challenges

- Broad clinical spectrum of frailty
- High medical and psychosocial complexity
- Lack of gold standard definition
- Lack of definitive therapy
- Lack of consensus treatment guideline

# The Surgical Pause Practice



Frailty screening initiative is associated with reduced mortality in frail patients:

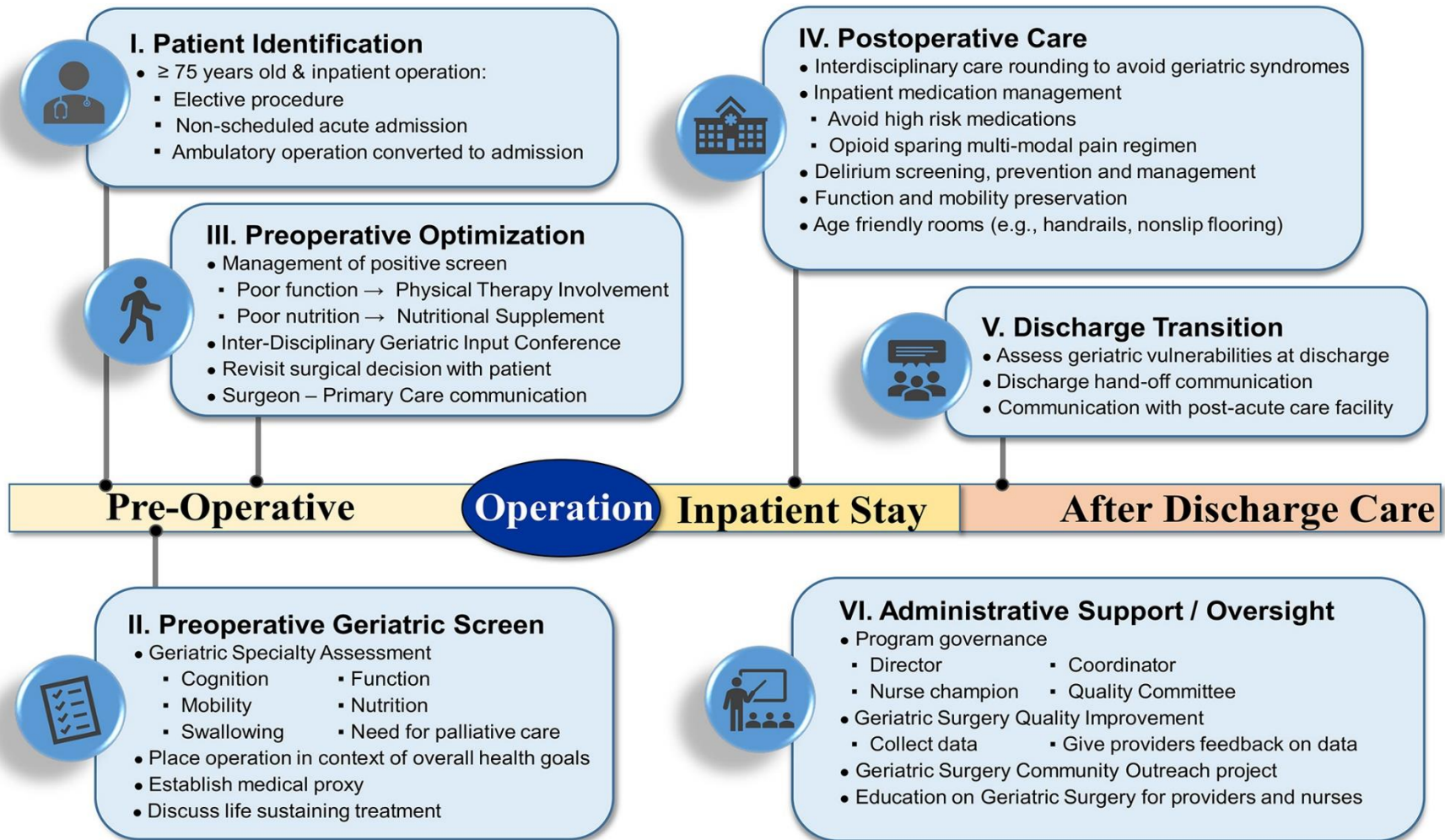
- 30 day mortality
  - 12.2% → 3.8%
- 180 day mortality
  - 23.9% → 7.7%
- 365 day mortality
  - 34.5% → 11.7%

*VA Diffusion of Excellence Gold Status*

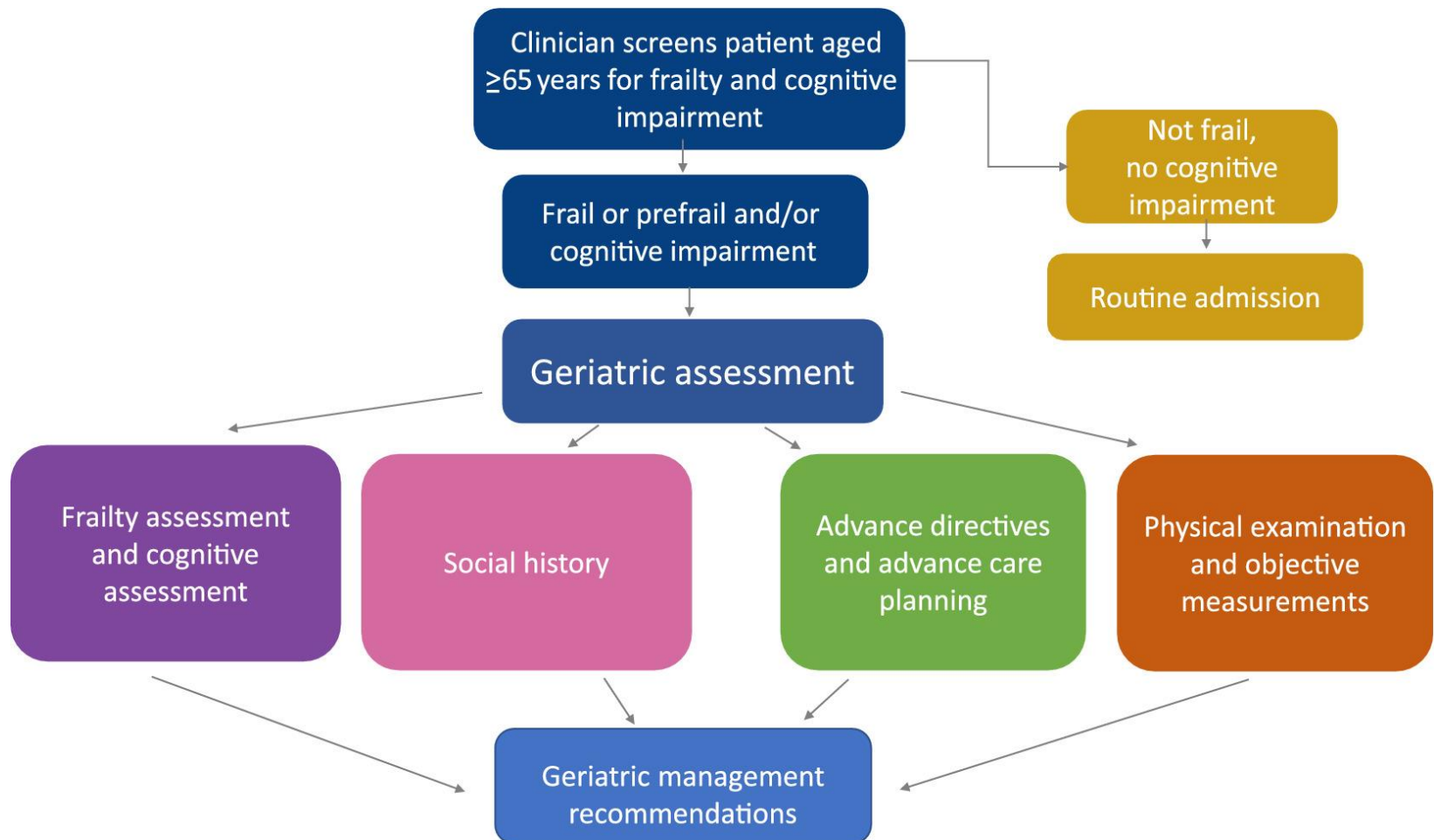
- *Ongoing implementation efforts in 18 VISNs*

Hall et al, *JAMA Surg*  
152(3):233-240, 2017

# American College of Surgeons Geriatric Surgery Verification (GSV) Program



# Society for Perioperative Assessment and Quality Improvement (SPAQI)



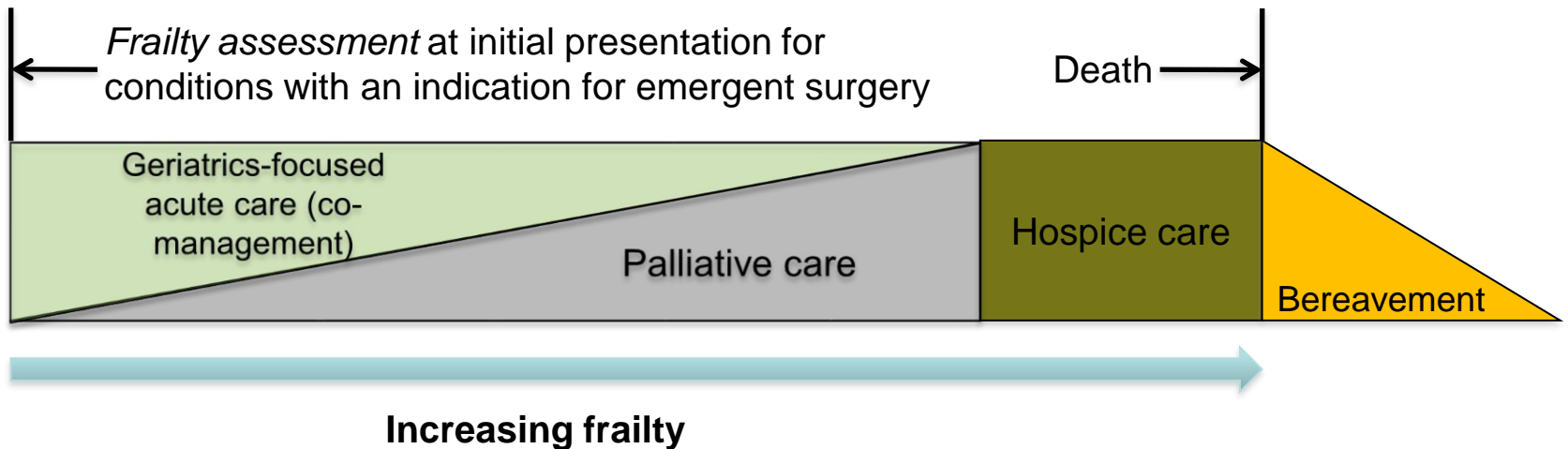
# Emerging frailty-focused perioperative practice guidelines

- American Society of Colon and Rectal Surgeons (Saur et al, Dis Colon Rectum 65(4):473-488, 2022)
- British Geriatrics Society for elective and emergency surgery (<https://www.bgs.org.uk/cpocfrailty>)

# Back to the patient...

1<sup>st</sup> SDH

2<sup>nd</sup> SDH



# Summary

- Frailty predicts poor surgical outcomes in vulnerable older adults and is an essential aspect of preoperative screening/risk stratification.
- Best practice for the care of frail older surgical patients should incorporate a multidisciplinary approach, coupled with shared decision making, across their surgical journey (preoperative geriatric screen/optimization, postoperative care, discharge transition).
- Research is needed to identify effective and targeted interventions (e.g., exercise, nutrition) to optimize recovery after surgery in frail patients.

# Thank You!







## Frailty phenotype defined by Cardiovascular Health Study (Fried et al, *J Gerontol* 56(3):M146-156A, 2001)

Frailty Characteristics	Assessment										
Unintentional weight loss	<p><i>Baseline:</i> lost &gt;4.5 kg in the last year</p> <p><i>Follow-up:</i> <math>([\text{weight in previous year} - \text{current weight}]/[\text{weight in previous year}]) \geq 0.05</math></p>										
Weakness (loss of strength)	<p>Grip strength</p> <table> <tr> <td><i>Women:</i></td> <td><i>Men:</i></td> </tr> <tr> <td>≤17 kg for BMI ≤23</td> <td>≤29 kg for BMI ≤24</td> </tr> <tr> <td>≤17.3 kg for BMI 23.1–26</td> <td>≤30 kg for BMI 24.1–26</td> </tr> <tr> <td>≤18 kg for BMI 26.1–29</td> <td>≤30 kg for BMI 26.1–28</td> </tr> <tr> <td>≤21 kg for BMI &gt;29</td> <td>≤32 kg for BMI &gt;28</td> </tr> </table>	<i>Women:</i>	<i>Men:</i>	≤17 kg for BMI ≤23	≤29 kg for BMI ≤24	≤17.3 kg for BMI 23.1–26	≤30 kg for BMI 24.1–26	≤18 kg for BMI 26.1–29	≤30 kg for BMI 26.1–28	≤21 kg for BMI >29	≤32 kg for BMI >28
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≤21 kg for BMI >29	≤32 kg for BMI >28										
Exhaustion	<p>Self-report of either:</p> <p>Feeling that everything the person did was an effort in the last week, or inability to get going in the last week</p>										
Slowness	<p>Observed walking for 4.57 m at usual pace</p> <table> <tr> <td><i>Women:</i></td> <td><i>Men:</i></td> </tr> <tr> <td>Time ≥7 s for height ≤159 cm</td> <td>Time ≥7 s for height ≤173 cm</td> </tr> <tr> <td>Time ≥6 s for height &gt;159 cm</td> <td>Time ≥6 s for height &gt;173 cm</td> </tr> </table>	<i>Women:</i>	<i>Men:</i>	Time ≥7 s for height ≤159 cm	Time ≥7 s for height ≤173 cm	Time ≥6 s for height >159 cm	Time ≥6 s for height >173 cm				
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Low physical activity	<p><i>Women:</i> energy &lt;270 kcal on activity scale (18 items)</p> <p><i>Men:</i> energy &lt;383 kcal on activity scale (18 items)</p>										

*Frail if ≥3 criteria present; Pre-frail if 1 or 2 criteria present*